## NOTAS DE MATEMATICA Nº 142

# A DIRECT PROOF OF A THEOREM ON REPRESENTABILITY OF OPERATORS

UNIVERSIDAD DE LOS ANDES
FACULTAD DE CIENCIAS
DEPARTAMENTO DE MATEMATICA
MERIDA-VENEZUELA
1994

## A DIRECT PROOF OF A THEOREM ON REPRESENTABILITY OF OPERATORS <sup>1</sup>

### IVAN DOBRAKOV AND T.V.PANCHAPAGESAN

**ABSTRACT.** Let T be a locally compact Hausdorff space and let X be a Banach space which contains no copy of  $c_o$ . Then it is well known that every bounded linear operator  $U: C_o(T) \to X$  is weakly compact and hence is representable with respect to a unique X-valued  $\sigma$ -additive regular Borel measure on T. The object of the present note is to provide a simple, direct and elegant proof of the representability of U and then to deduce that U is weakly compact.

Suppose T is a locally compact Hausdorff space and let  $C_o(T)$  be the Banach space of all complex valued continuous functions on T vanishing at infinity, with the supremum norm  $||.||_T$ , given by  $||f||_T = \sup_{t \in T} |f(t)|$ .

Let  $U: C_o(T) \to X$  be a bounded linear operator, where X is a complex Banach space containing no copy of  $c_o$  (in symbols,  $c_o \not\subset X$ ). Then by Theorems 5.1 and 5.3 of Thomas [12] and by Theorem 5 of Bessaga and Pelczyński [2] it follows that U is weakly compact. This can also be deduced from Theorem 5 of Pelczyński [10], by considering the bounded linear operator  $\hat{U}: C(\hat{T}) \to X$ , where  $\hat{T}$  is the Alexandroff compactification of T by adjunction of the point  $\{\infty\}$ ,  $C(\hat{T}) = \{f: \hat{T} \to \mathbb{C}, f \text{ continuous}\}$  and  $\hat{U}(f) = U(f - f(\infty))$ . Then by Lemma 2 of Kluvánek [9] there exists a unique

<sup>&</sup>lt;sup>1</sup>1991 Mathematical Subject Classification. Primary 47B37.Secondary 46G10 Key words and phrases. Banach space which contains no copy of  $c_o$ , weakly compact operators,  $\sigma$ -additive X-valued regular Borel measure,  $\sigma$ -additive X-valued Baire measure.

The research of the second author was partially supported by the C.D.C.H.T. project C-586 of ULA and by the CONICIT -CNR(Italy) international cooperation project.

X-valued  $\sigma$ -additive regular Borel measure G on T such that

$$Uf = \int_{T} f dG, \ f \in C_o(T) \tag{*}$$

and thus the representability of U is obtained. Alternatively, following the ideas in the proof of Theorems 3.1 and 3.2 of [1], (\*) can be deduced from the weak compactness of U as follows: Let  $G(E) = U^{**}(X_E)$ ,  $E \in \mathcal{B}(T)$ . Then  $U^{**}C_o(T)^{**} \subset X$ , as U is weakly compact. Since G is  $\sigma$ -additive in  $\sigma(X^{**}, X^*)$ -topology,by the Orlicz-Pettis theorem G is  $\sigma$ -additive on  $\mathcal{B}(T)$  in norm topology of X and consequently (\*) holds. Moreover, by Theorem 2 of [7] and Appendix 1 of [12] it follows that G is a regular X-valued Borel measure.

In the study of representation of bounded multilinear operators on  $\overset{d}{X} C_o(T_i)$ , where  $T_i, i=1,2,..,d$ , are locally compact Hausdorff spaces, it has been shown in Dobrakov [5] that every bounded multilinear operator  $V:\overset{d}{X} C_o(T_i) \to X$  admits a multilinear integral representation with respect to a unique X-valued Baire multimeasure  $\Upsilon$  on  $\overset{d}{X} \mathcal{B}_o(T_i)$ , whenever the complex Banach space X contains no copy of  $c_o$ . Moreover, as observed in Dobrakov and Panchapagesan [6], the example given in Pelczyński [11,p.385] serves to give a non weakly compact multilinear operator V with range in a Banach space containing no copy of  $c_o$ . Thus, for bounded multilinear operators, the concepts of weak compactness and representability are not equivalent, even though these coincide for bounded linear operators on  $C_o(T)$ . The latter fact is exploited above to obtain the representability of a bounded linear operator  $U:C_o(T)\to X$ , whenever the Banach space X contans no copy of  $c_o$ .

Because of the above situation in the case of multilinear operators, the following question arises naturally: Can the representability of U be proved without using its weak compactness? The object of the present note is to answer the question affirmatively; and it turns out that the present direct proof is quite simple and elegant.

Let  $\mathcal{K}$  (resp.  $\mathcal{K}_o$ ) be the family of all compacts (resp. compact  $G_\delta s$ ) in T. Let  $\mathcal{B}_o(T)$  be the  $\sigma$ -ring generated by  $\mathcal{K}_o$ . The members of  $\mathcal{B}_o(T)$  are called the Baire sets in T. The  $\sigma$ -algebra of all Borel sets in T is the  $\sigma$ -algebra  $\mathcal{B}(T)$  generated by the class of all open sets in T.

Let  $\mathcal{R}$  be a ring of sets in T and let X be a complex Banach space. If  $m: \mathcal{R} \to X$  is an additive set function, then m is said to be an (X-valued) vector measure. Moreover, the vector measure m is said to be  $\sigma$ -additive if it countably additive in the norm topology of X. The dual of X is denoted by  $X^*$  and the second dual (of X) by  $X^{**}$ .

**Definition 1.** An X-valued vector measure m on  $\mathcal{B}_o(T)$  (resp.  $\mathcal{B}(T)$ ) is said to be regular, if, given  $\varepsilon > 0$  and  $E \in \mathcal{B}_o(T)$  (resp.  $E \in \mathcal{B}(T)$ ), there exists  $C \in \mathcal{K}_o$  (resp.  $C \in \mathcal{K}_o$ ) and an open set  $U \in \mathcal{B}_o(T)$  (resp. an open set U in T) such that  $C \subset E \subset U$  and  $||m(F)|| < \varepsilon$  for all  $F \in \mathcal{B}_o(T)$  (resp.  $F \in \mathcal{B}(T)$ ) with  $F \subset U \setminus C$ .

**Definition 2.** A vector measure  $m : \mathcal{B}_o(T) \to X$  (resp.  $\mathcal{B}(T) \to X$ ) is called an X-valued Baire (resp. Borel) measure on T.

**Lemma 3.** Every X-valued  $\sigma$ -additive Baire measure on T is regular and admits a unique X-valued  $\sigma$ -additive regular Borel extension on  $\mathcal{B}(T)$ .

The above lemma is the same as Lemma 1 of Kluvánek [9].

**Lemma 4.** Let  $U: C_0(T) \to X$  be a bounded linear operator. Then there exists a weak\*  $\sigma$ -additive vector measure G defined on  $\mathcal{B}(T)$  with values in  $X^{**}$  such that

(i)  $x^*G(.)$  is a regular  $\sigma$ -additive complex valued Borel measure on T for  $x^* \in X^*$ ;

(ii) the mapping  $x^* \to x^*G(.)$  of  $X^*$  into  $C_o(T)^*$  is weak\*- to weak\*-continuous;

(iii) 
$$x^*Uf = \int_T fd(x^*G)$$
, for each  $f \in C_o(T)$  and each  $x^* \in X^*$ ; and

(iv) ||U|| = ||G||(T), where ||G|| is the semi-variation of the vector measure G, and by definition

$$||G||(T) = \sup\{||\sum_{i=1}^r \alpha_i G(E_i)|| : |\alpha_i| \le 1, (E_i)_1^r \subset \mathcal{B}(T) \text{ with } E_i \cap E_j = \emptyset \text{ for } i \ne j\}$$

Moreover, the vector measure  $G: \mathcal{B}(T) \to X^{**}$  satisfying (i)-(iii) is unique.

*Proof.* The proof of the first part of Theorem VI.2.1. of [3] holds here verbatim to prove (i)-(iv) if we replace  $\Omega$ ,  $C(\Omega)$  and  $\Sigma$  there by T,  $C_o(T)$  and  $\mathcal{B}(T)$ , respectively.

Now, let us prove the uniqueness of G. If  $G_1: \mathcal{B}(T) \to X^{**}$  is another vector measure satisfying (i)-(iii), then, for each  $x^* \in X^*$ , the regular  $\sigma$ -additive complex valued Borel measures  $x^*G$  and  $x^*G_1$ , represent the same bounded inear functional  $x^*U$  on  $C_o(T)$  and hence  $x^*G(E) = x^*G_1(E)$  for  $E \in \mathcal{B}(T)$ . Since this holds for each  $x^*inX^*$ , it follows that  $G = G_1$ .

Now we shall state and prove the principal result.

**Theorem 5.**Let  $U: C_o(T) \to X$  be a bounded linear operator and let us suppose that the Banach space X contains no copy of  $c_o$ . Let  $G_o = G|\mathcal{B}_o(T)$ , where G is as in Lemma 4. Then:

- (i)  $G_o$  has range in X and  $G_o$  is  $\sigma$ -additive.
- (ii) G is an X-valued  $\sigma$ -additive regular Borel measure.

(iii) 
$$Uf = \int_T f dG, \ f \in C_o(T).$$

- (iv) ||U|| = ||G||(T).
- (v) G is uniquely determined by (ii) and (iii).

Consequently, U is weakly compact.

*Proof.* By Lemma 4 there exists a unique weak\*  $\sigma$ -additive  $X^{**}$ -valued Borel measure G on  $\mathcal{B}(T)$  such that

$$x^*Uf = \int_T f d(x^*G), \ f \in C_o(T)$$
 (1)

for each  $x^* \in X^*$ ,  $x^*G$  is a regular  $\sigma$ -additive complex valued Borel measure and the mapping  $x^* \to x^*G$  satisfies (ii) of Lemma 4. Moreover, by Lemma 4 (iv), ||U|| = ||G||(T). Thus (v) holds.

Let  $C \in \mathcal{K}_o(T)$ . By Theorem 55.B of Halmos [8] there exists a decreasing sequence  $(f_n)$  in  $C_o(T)$  such that  $f_n \setminus \mathcal{X}_C$  pointwise on T. Then by (1) and by the Lebesgue dominated convergence theorem

$$x^*G(C) = \lim_n \int_T f_n d(x^*G) = \lim_n x^* U f_n$$
 (2)

for each  $x^* \in X^*$ . Let  $Uf_n = x_n$ .

For  $x^* \in X^*$ ,  $x^*G$  is  $\sigma$ -additive and hence there exist  $\sigma$ -additive positive measures  $\mu_{x^*,j} : \mathcal{B}(T) \to [0,\infty), \ j=1,2,3,4$ , such that

$$x^*G = (\mu_{x^*,1} - \mu_{x^*,2}) + i(\mu_{x^*,3} - \mu_{x^*,4}).$$

Again by (1) and by the Lebesgue dominated convergence theorem we have

$$\begin{split} \sum_{n=1}^{\infty} |(x^*(x_n - x_{n+1})| &= \sum_{n=1}^{\infty} |\int_T (f_n - f_{n+1}) d(x^*G)| \\ &\leq \sum_{j=1}^4 (\sum_{n=1}^{\infty} \int_T (f_n - f_{n+1}) d\mu_{x^*,j}) \\ &\leq \sum_{j=1}^4 (\int_T f_1 d\mu_{x^*,j} + \mu_{x^*,j}(C)) \\ &< \infty. \end{split}$$

Hence

$$|x^*(x_1)| + \sum_{n=1}^{\infty} |x^*(x_{n+1} - x_n)| < \infty$$

for each  $x^* \in X^*$ . Since  $c_o \not\subset X$ , by Theorem 5 of [2] or by Corollary I.4.5 of [3] the formal series  $x_1 + \sum_{n=1}^{\infty} (x_{n+1} - x_n)$  converges unconditionally in norm to some vector  $x_0 \in X$ . In other words,  $\lim_n x_n = x_o$  (in norm topology). Then by (2) we have

$$x^*G(C) = \lim_{n} x^*Uf_n = \lim_{n} x^*x_n = x^*x_o$$

for each  $x^* \in X^*$ . Since  $G(C) \in X^{**}$ , it follows that  $G(C) = x_o \in X$ . Thus we have proved that  $G(\mathcal{K}_o) \subset X$ .

Now let  $\Sigma = \{E \in \mathcal{B}_o(T) : G(E) \in X\}$ . As  $\mathcal{K}_o \subset \Sigma$ , it follows that  $R(\mathcal{K}_o)$ , the ring generated by  $\mathcal{K}_o$ , is contained in  $\Sigma$ . Let  $\{E_n\}_1^{\infty}$  be a monotone sequence in  $\Sigma$  with  $\lim_n E_n = E$ . When  $E_n \nearrow \text{put } F_n = E_n \backslash E_{n-1}$  with  $E_o = \emptyset$  for n = 1, 2, ..., and when  $E_n \searrow \text{put } F_n = E_n \backslash E_{n+1}$  for n = 1, 2, .... Clearly,  $G(F_n) \in X$  for all n. Then  $E = \bigcup_{i=1}^n F_i$  when  $E_n \nearrow \text{and } E_1 \backslash E = \bigcup_{i=1}^n F_i$  when  $E_n \searrow \text{since } x^*G$  is  $\sigma$ -additive on  $\mathcal{B}(T)$ , it follows that

$$x^*G(E) = \sum_{1}^{\infty} x^*G(F_n)$$
 when  $E_n \nearrow$ 

and

$$x^*G(E) = x^*G(E_1) - \sum_{1}^{\infty} x^*G(F_n)$$
 when  $E_n \setminus .$ 

Thus in both the cases,  $\sum_{n=1}^{\infty} |x^*G(F_n)| < \infty$  for each  $x^* \in X^*$ . As  $c_o \not\subset X$ , by Theorem 5 of [2] or by Corollary I.4.5 of [3] the series  $\sum_{n=1}^{\infty} G(F_n)$  is unconditionally convergent in norm to some vector in X. Then it follows in both the cases that  $\lim_{n \to \infty} G(E_n) = \omega_o \in X$ . Since  $x^*G$  is  $\sigma$ -additive,

$$x^*G(E) = \lim_{n} x^*G(E_n) = x^*\omega_o$$

for all  $x^* \in X^*$  and hence  $G(E) = \omega_o \in X$ . This shows that  $E \in \Sigma$  and that  $\Sigma$  is a monotone class. Then by Theorem 6.B of Halmos [8] we conclude that  $\Sigma = \mathcal{B}_o(T)$ , so that  $G_o(\mathcal{B}_o(T)) = G(\mathcal{B}_o(T)) \subset X$ .

Since  $x^*G_o$  is  $\sigma$ -additive on  $\mathcal{B}_o(T)$  for each  $x^* \in X^*$  and since the range of  $G_o$  is contained in X, by the Orlicz-Pettis theorem it follows that  $G_o$  is  $\sigma$ -additive (in the norm topology of X) on  $\mathcal{B}_o(T)$ . This proves (i).

By Lemma 3, there exists a unique X-valued  $\sigma$ -additive regular Borel measure G' on  $\mathcal{B}(T)$  such that  $G'|\mathcal{B}_o(T) = G_o$ . Since each  $f \in C_o(T)$  is  $G_o$ -integrable by Theorem 8 of [4], it follows that

$$\int_{T} f dG_o \in X, f \in C_o(T). \tag{3}$$

Then by (1), (3) and the discussion on p. 526 of [4] we have

$$x^* \int_T f dG_o = \int_T f dx^* G_o = \int_T f d(x^* G) = x^* U f = \int_T f d(x^* G')$$

for  $x^* \in X^*$  and  $f \in C_o(T)$ .

Thus the bounded linear functional  $X^*U$  is represented by  $\sigma$ -additive complex valued regular Borel measures  $x^*G$  and  $x^*G'$  and hence  $x^*G = x^*G'$ . Since this holds for all  $x^* \in X^*$ , G' is X-valued and G is  $X^{**}$ -valued, we conclude that G' = G. This

proves (ii).

Since  $G_o = G|\mathcal{B}_o(T)$ , (iii) follows from (3).

If  $\tilde{G}: \mathcal{B}(T) \to X$  satisfies (ii) and (iii), then  $x^*\tilde{G}$  and  $x^*G$  are  $\sigma$ -additive regular complex valued Borel measures representing the bounded linear functional  $x^*U$  and hence  $x^*\tilde{G} = x^*G$  for each  $x^* \in X^*$ . Consequently, by the Hahn-Banach theorem  $\tilde{G} = G$ . This proves (v).

The weak compactness of U is immediate from (ii) and (iii) and from Theorem VI.1.1 of [3].

This completes the proof.

The following sufficiency part of Theorem 5.3 of Thomas [12], restricted to Banach spaces, follows as a corollary of the above theorem.

Corollary 6. Every bounded linear operator  $U: C_o(T) \to X$  is weakly compact, whenever the Banach space X contains no copy of  $c_o$ .

The following extends Corollary VI.2.16 of [3] to  $C_o(T)$ .

Corollary 7. A complemented infinite dimensional subspace of  $C_o(T)$  contains a copy of  $c_o$ .

*Proof.* Suppose X is a complemented infinite dimensional subspace of  $C_o(T)$  and let P be a bounded projection of  $C_o(T)$  onto X. If  $c_o \not\subset X$ , then by Corollary 6, P is weakly compact. Then the proof of Corollary VI.2.16 of [3] applies here to show that

P is compact and hence that X is finite dimensional.

#### REFERENCES

- 1. R.G.Bartle, N. Dunford and J. Schwartz, Weak compactness and vector measures, Canad. J. Math. 7 (1955), 289-305.
- C. Bessaga and A. Pelczyński, On bases and unconditional convergence of series in Banach spaces, Studia Math. 17 (1958), 151-164.
- J. Diestel and J.J. Uhl, Vector measures, Math. Surveys, 15, Amer. Math. Soc. Providence, RI, 1977.
- 4. I. Dobrakov, On integration in Banach spaces, I, Czechoslovak Math. J. 20 (1970), 511-536.
- 5. ——, Representation of multilinear operators on  $XC_o(T_i)$ , Czechoslovak Math. J. 39 (1989), 288-302.
- 6. —— and T.V. Panchapagesan, A direct proof of a theorem of representation of multilinear operators on  $XC_o(T_i)$ , presented in the international conference on harmonic analysis and operator theory, Caracas, 1994. Submitted to publish in the Proceedings of the conference.
- 7. A. Grothendieck, Sur les applications linéares faiblement compactes d'espace du type C(K), Canad. J. Math 5 (1953), 129-173.
- 8. P.R.Halmos, Measure theory, Van Nostrand, New York, 1950.
- 9. I. Kluvánek, Characterization of Fourier-Stieltjes transforms of vector and operator valued measures, Czechoslovak Math. J. 17 (1967), 261-277.
- 10. A. Pelczyński, Projections in Banach spaces, Studia Math. 19 (1960), 209-228.
- 11. ———, A theorem of Dunford-Pettis type for polynomial operators, Bull. Acad. Polon. Sci. Sér. Sci Math. Astronom. Phys. 11 (1963), 379-386.
- 12. E. Thomas, L'integration par rapport a une mesure de Radon vectorielle, Ann. Inst. Fourier Grenoble, 20 (1970), 55-191.

MATHEMATICAL INSTITUTE, SLOVAK ACADEMY OF SCIENCES, BRATISLAVA, SLOVAKIA

DEPARTAMENTO DE MATEMÁTICAS, FACULTAD DE CIENCIAS, UNIVERSIDAD DE LOS ANDES,MÉRIDA, VENEZUELA.

e-mail address of T.V.Panchapagesan:panchapa@ciens.ula.ve